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UNIVERSITY OF TECHNOLOGY

# Improved Private Information Retrieval Rate for Noncolluding Coded Distributed Storage

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Joint work with S. Kumar, E. Rosnes, and A. Graell i Amat

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# Key ingredient in BigData and IoT: Distributed Storage

- Distributed storage using coding techniques:<sup>1</sup>
  - data is encoded by an  $[n, k]$  linear code, and then distributed and stored across  $n$  storage servers

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  - E.g., locally repairable codes (LRCs)

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## Coded Data in DSSs

Data consists of  $M$  files, **file index**  $m \in \{1, \dots, M\} \triangleq [1 : M]$ , and each file  $\mathbf{x}^{(m)}$  has size/length  $\beta$  (**file size or subpacketization**)

# Coded Data in DSSs

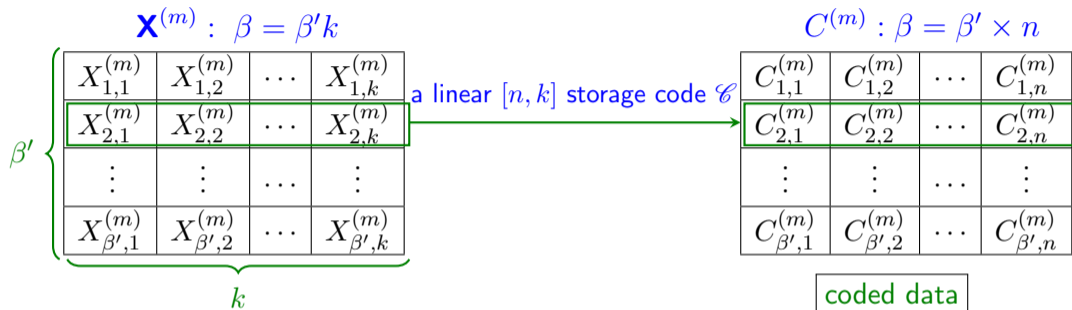
$$\mathbf{x}^{(m)} : \beta = \beta'k$$

$X_{1,1}^{(m)}$	$X_{1,2}^{(m)}$	$\cdots$	$X_{1,k}^{(m)}$
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$\beta'$

$k$

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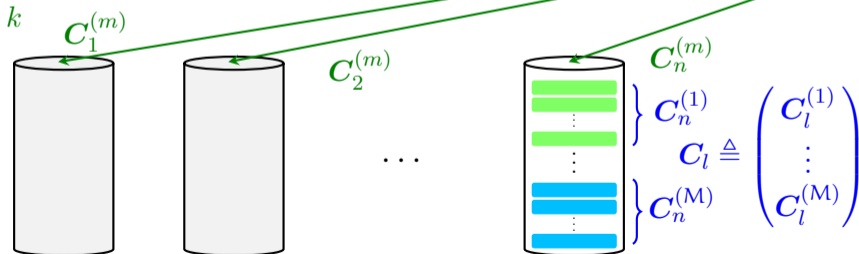


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$$\mathbf{x}^{(m)} : \beta = \beta' k$$

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$$C^{(m)} : \beta = \beta' \times n$$

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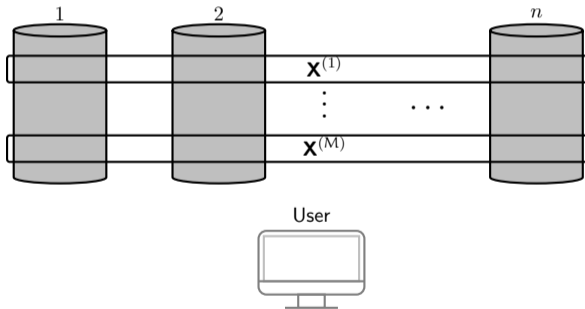
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  - LRCs are (in general) not MDS codes

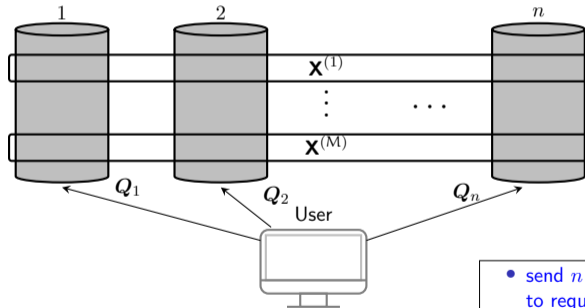
# Private Information Retrieval (PIR) for Distributed Storage

- $n$  non-colluding servers:  $l \in [1 : n]$
- $M$  files:  $\mathbf{X}^{(m)}$ ,  $m \in [M]$
- file size:  $\beta$  symbols



# PIR: Upload

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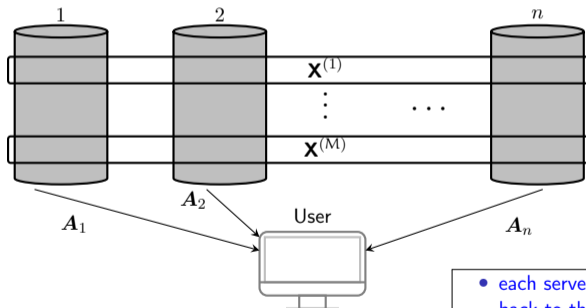


- send  $n$  queries  $Q_l$  to request  $M \in [M]$
- $M$  : uniformly distributed



# PIR: Download

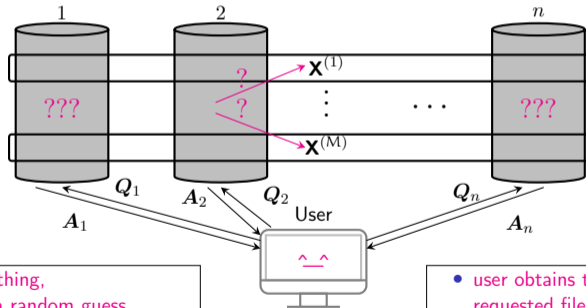
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- each server sends  $A_l$  back to the user

# Requirements of PIR

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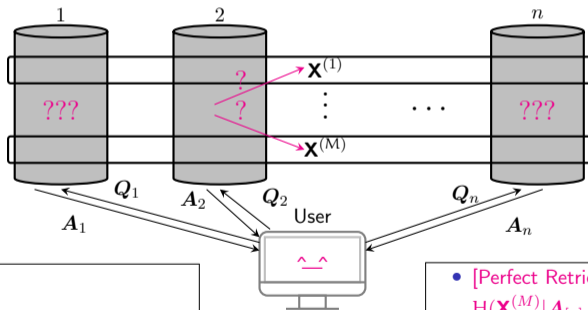


- servers learn nothing, only can make a random guess

- user obtains the complete requested file  $\mathbf{X}^{(M)}$

# Information-Theoretic PIR

- $n$  non-colluding servers:  $l \in [1 : n]$
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- [Strong Privacy]  
 $M \perp (Q_l, A_l)$

- [Perfect Retrievability]  
 $H(\mathbf{X}^{(M)} | A_{[n]}, Q_{[n]}, M) = 0$

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- PIR for [replicated data \(2 servers\)](#) was proposed
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- The efficiency of a classical PIR scheme is measured by the total amount of communication, i.e., the sum of the [upload and download cost](#)

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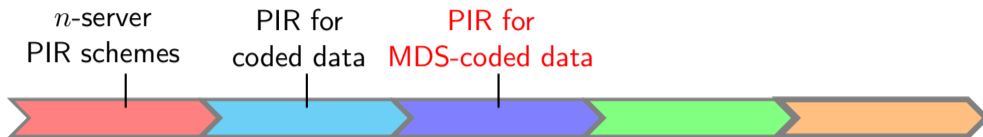


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**only the download cost is considered!!!**

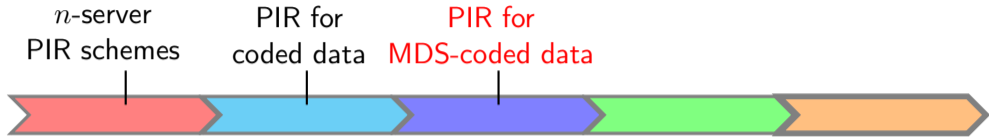
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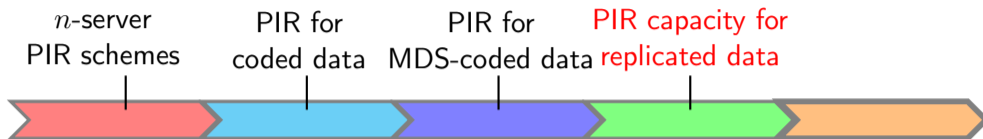


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- The PIR rate is independent of the number of files: **a file-independent scheme**

$$R(\mathcal{C}_{\text{MDS}}) = 1 - \frac{k}{n}$$

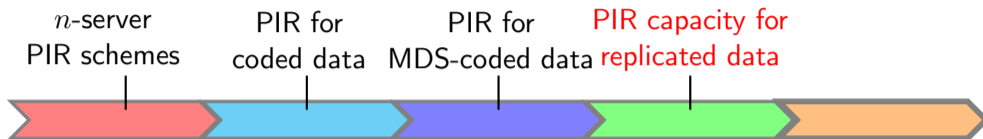
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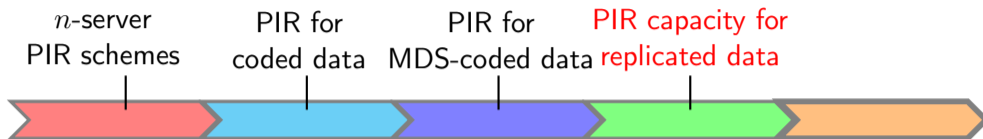
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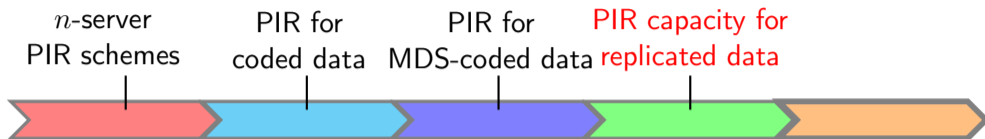
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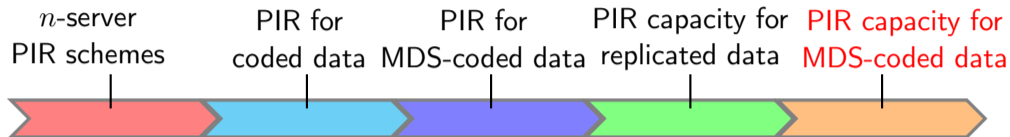
$$C_{M, \text{PIR}} \triangleq \frac{1 - \frac{1}{n}}{1 - \left(\frac{1}{n}\right)^M}$$



# Channel Capacity vs. PIR Capacity

- **Channel capacity.**  $P_e \rightarrow 0$  as the code blocklength  $n \rightarrow \infty$ 
  - **Achievability:** What is the transmission rate that a coding scheme can achieve (lower bound)?
  - **Converse:** What is the maximum possible transmission rate that a coding scheme can achieve (upper bound)?
- **PIR capacity.** Ensure privacy and correctness (zero error) as the file size  $\beta \rightarrow \infty$ 
  - **Achievability:** What is the PIR rate that a PIR scheme can achieve (lower bound)?
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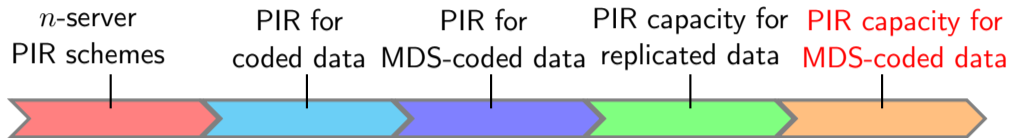
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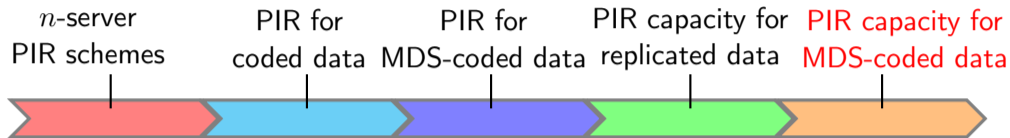


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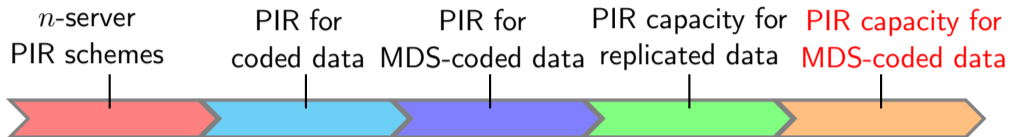
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# A PIR Scheme Using Subpacketization [Shah et al. 2014]:

$n$  Servers,  $\beta = n - 1$

Data is encoded by an  $[n, 1]$  repetition code with  $\beta = n - 1$

Server 1	Server 2	...	Server $n$
$\mathbf{x}^{(1)}$	$\mathbf{x}^{(1)}$	...	$\mathbf{x}^{(1)}$
$\mathbf{x}^{(2)}$	$\mathbf{x}^{(2)}$	...	$\mathbf{x}^{(2)}$
$\vdots$	$\vdots$	...	
$\mathbf{x}^{(m)}$	$\mathbf{x}^{(m)}$	...	$\mathbf{x}^{(m)}$
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$\mathbf{x}^{(M)}$	$\mathbf{x}^{(M)}$	...	$\mathbf{x}^{(M)}$

$\mathbf{x}^{(m)}$ :  $\beta = (n - 1) \cdot 1$



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- **Queries:** Send  $\mathbf{U}$  to Server 1, send  $\mathbf{U} + \mathbf{e}_{(m-1) \cdot (n-1) + 1}$  to Server 2, ..., and send  $\mathbf{U} + \mathbf{e}_{(m-1) \cdot (n-1) + (n-1)}$  to Server  $n$

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  - requires a large subpacketization parameter  $\beta$  that is exponential in the number of files  $M$

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  - requires a large subpacketization parameter  $\beta$  that is exponential in the number of files  $M$
  - a Shannon-theoretic re-formulation of converse bound is proposed



# The PIR Capacity-Achieving Scheme for Replicated Data

- For any given number of files  $M$ , none of previously mentioned schemes achieves the maximum possible PIR rate (the PIR capacity)
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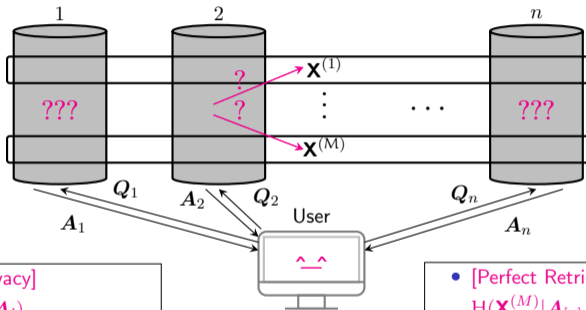
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  - a generalization of the Sun-Jafar scheme and Banawan-Ulukus scheme

# Review: Information-Theoretic PIR



- [Strong Privacy]  
 $M \perp (Q_i, A_i)$

- [Perfect Retrievability]  
 $H(\mathbf{x}^{(M)} | A_{[n]}, Q_{[n]}, M) = 0$



## Retrievability: Use Information Sets

$$X^{(m)} : \beta = \beta' \times k$$

$\beta'$	$X_{1,1}^{(m)}$	$X_{1,2}^{(m)}$	$\cdots$	$X_{1,k}^{(m)}$
	$X_{2,1}^{(m)}$	$X_{2,2}^{(m)}$	$\cdots$	$X_{2,k}^{(m)}$
	$\vdots$	$\vdots$	$\cdots$	$\vdots$
	$X_{\beta',1}^{(m)}$	$X_{\beta',2}^{(m)}$	$\cdots$	$X_{\beta',k}^{(m)}$

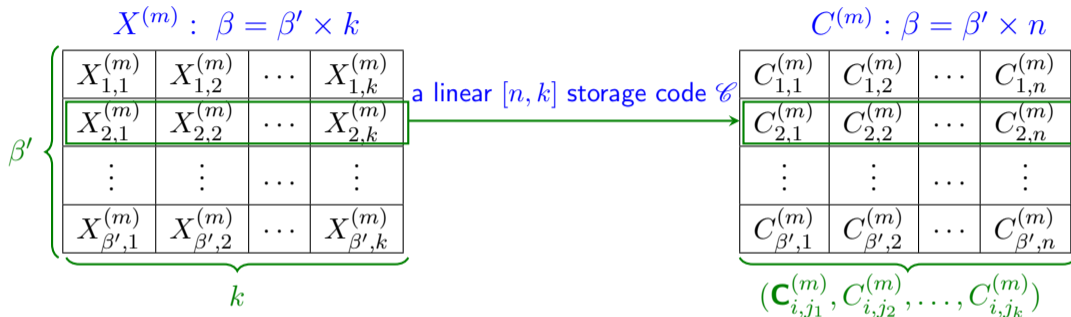
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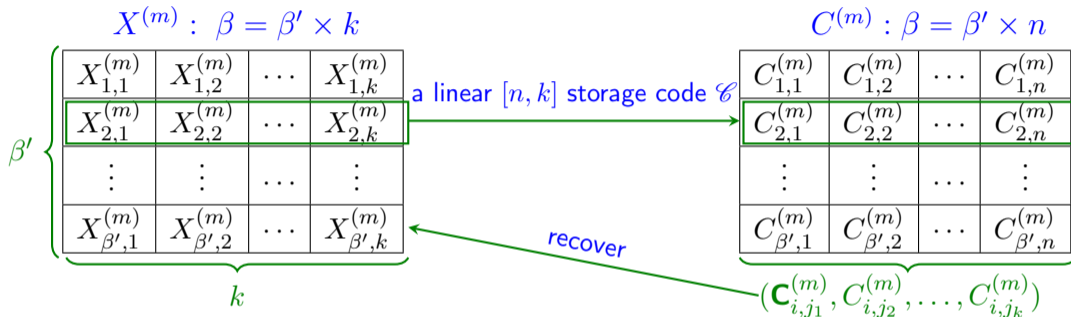
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- Information set:** a set  $\mathcal{I} = \{j_1, \dots, j_k\} \subseteq [1 : n]$  such that the code symbols  $(C_{j_1}, C_{j_2}, \dots, C_{j_k})$  determine the  $k$  information symbols

# MDS-PIR Capacity-Achieving Codes

- For any  $[n, k]$  code  $\mathcal{C}$ , one can always find **two parameters  $\nu$  and  $\kappa$**  such that
  - **each coordinate  $j \in [1 : n]$  appears exactly  $\kappa$  times in  $\nu$  sets  $\{\mathcal{S}_i\}_{i=1}^{\nu}$**
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- $\mathcal{C}_{\text{MDS}} \subset \mathcal{C}^* : (\nu, \kappa) = (n, k)$



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- Only the PIR capacity of non-MDS-PIR capacity-achieving codes is not yet determined!

## Example: An MDS-PIR Capacity-Achieving $[5, 3, 2]$ Code

- Consider a  $[5, 3, 2]$  binary non-MDS code  $\mathcal{C}$  with generator matrix

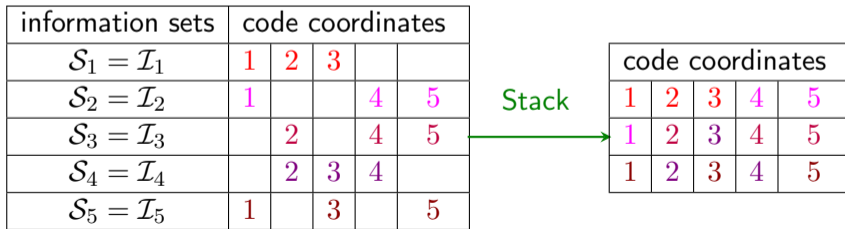
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information sets	code coordinates				
$\mathcal{S}_1 = \mathcal{I}_1$	1	2	3		
$\mathcal{S}_2 = \mathcal{I}_2$	1			4	5
$\mathcal{S}_3 = \mathcal{I}_3$		2		4	5
$\mathcal{S}_4 = \mathcal{I}_4$		2	3	4	
$\mathcal{S}_5 = \mathcal{I}_5$	1		3		5

Stack

code coordinates				
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$$\frac{\kappa}{\nu} = \frac{k}{n} = \frac{3}{5}$$

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- Consider a  $[5, 3, 2]$  binary non-MDS code  $\mathcal{C}'$  with generator matrix

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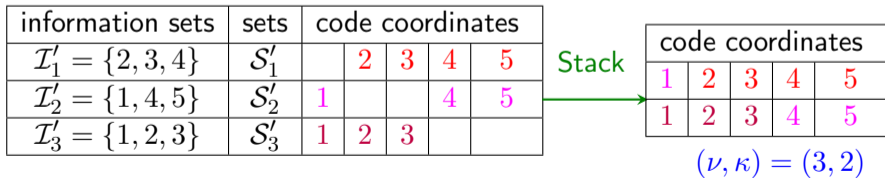


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## Symmetric vs. Asymmetric PIR Protocols

- Consider the binary  $[5, 3, 2]$  non-MDS-PIR capacity-achieving code  $\mathcal{C}'$
- Define the **interference symbols**:  $I_{h,l} \triangleq \mathbf{u}_h^\top \mathbf{c}_l$ ,  $h \in \mathbb{N}$ ,  $l \in [1 : n]$
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Responses	Server 1	Server 2	Server 3	Server 4	Server 5
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- Theorem (Symmetric Protocol). The PIR rate

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# Asymmetric Protocol for a Special Class of Codes

- Consider the  $[5, 3, 2]$  binary **non-MDS** code  $\mathcal{C}'$  with generator matrix

$$G' = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 & 5 \end{matrix} \\ \begin{pmatrix} 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \end{pmatrix} \end{matrix}$$

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- Decompose  $G'$  into  $G'_1 = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$  and  $G'_2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 1 \end{pmatrix}$ :

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$$G' = \begin{pmatrix} \overset{1}{1} & \overset{2}{0} & \overset{3}{0} & \overset{4}{1} & \overset{5}{0} \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \end{pmatrix}$$

- Decompose  $G'$  into  $G'_1 = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \end{pmatrix}$  and  $G'_2 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 1 \end{pmatrix}$ :

### Protocol B:

Responses	Server 1	Server 2	Server 3	Server 4	Server 5
$H(A_l)$	$I_{1,1} + x_{1,1}^{(m)}$	$I_{1,2}$	$I_{1,3}$	$I_{1,4}$	$I_{1,5}$
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# Asymmetric Protocol for a Special Class of Codes

- If the generator matrix  $G$  of a non-MDS-PIR capacity-achieving code  $\mathcal{C}_{DS}$  has the structure  $(n = \sum_{p=1}^P n_p, k = \sum_{p=1}^P k_p, G_p: \text{size } k_p \times n_p)$

$$G = \begin{pmatrix} G_1 & & & \\ & G_2 & & \\ & & \ddots & \\ & & & G_P \end{pmatrix}, \quad \mathcal{C}^{G_p} : [n_p, k_p] \text{ MDS-PIR capacity-achieving codes with } G_p$$

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- **Theorem (Asymmetric Protocol B). The PIR rate**

$$R_{M,B}(\mathcal{C}_{DS}) \triangleq \left( \sum_{p=1}^P \frac{k_p}{k} \left( C_M^{[n_p, k_p]} \right)^{-1} \right)^{-1} \quad \text{is achievable}$$

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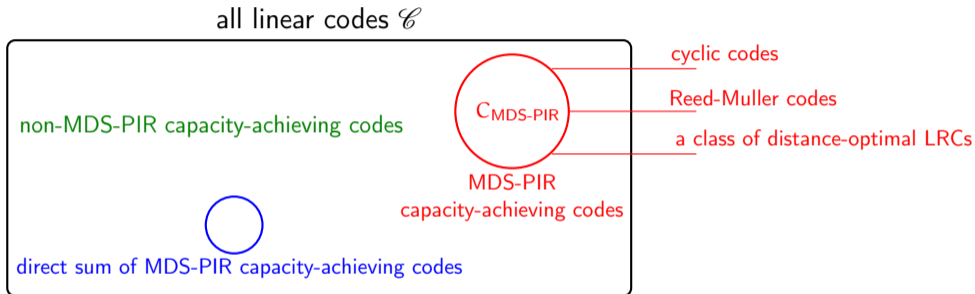
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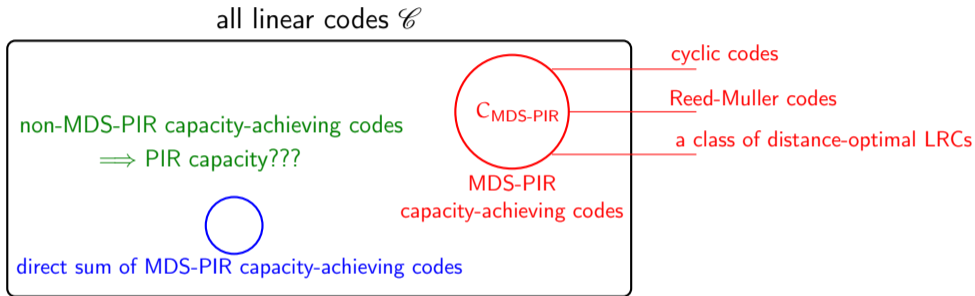
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- If such code exists, then one can show that  $R_{M,A}(\mathcal{C}_{DS}) < R_{M,B}(\mathcal{C}_{DS})$

# Take Home Messages

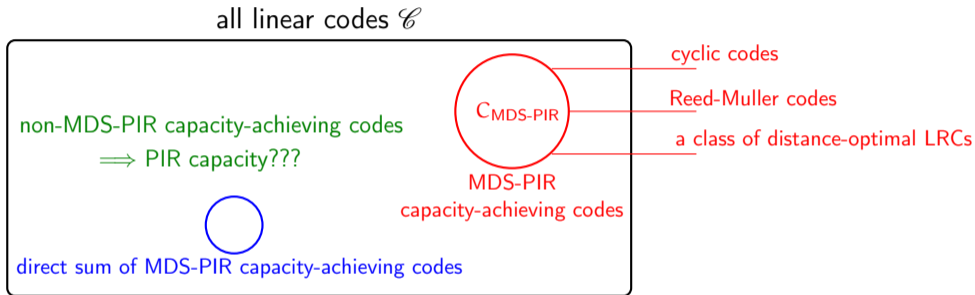


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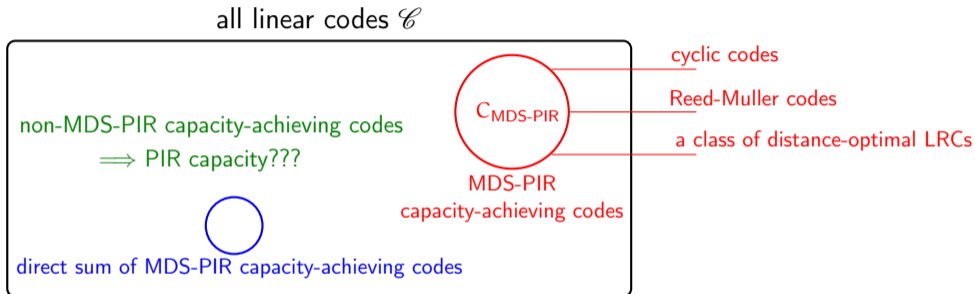
- For codes that cannot be decomposed into a direct sum of MDS-PIR capacity-achieving codes, the PIR capacity is still **unknown**...
  - a code-dependent, but file-independent asymmetric protocol is also proposed
  - Protocol A could still be improved

# Take Home Messages



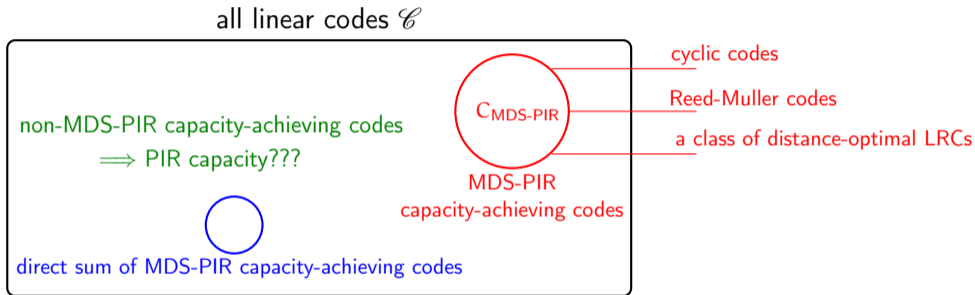
- **Open Problem 1: What is the PIR capacity for non-MDS encoded data?**

# Take Home Messages



- **Open Problem 2: Is  $C_M^{[n,k]}$  the limit for any  $[n, k]$  linear-coded DSS?**
  - So far, no  $[n, k]$  non-MDS-PIR capacity-achieving code gives a strictly larger PIR rate than  $C_M^{[n,k]}$

# Take Home Messages



- **Asymmetric PIR protocols** could be needed for a coded DSS using **non-MDS-PIR capacity-achieving codes**