### On Unequal Data Demand PIR Codes

#### Martin Puškin, Henk D.L. Hollmann, Ago-Erik Riet

University of Tartu

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1 Introduction to error-correction codes and UEP codes

Introduction to PIR codes and UDD PIR codes

3 The Griesmer Bound for UDD PIR Codes

- Proof Using UEP Codes
- Proof Using Hyperplanes

4 Optimal UDD PIR codes for  $k \leq 3$ 

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### **1** Introduction to error-correction codes and UEP codes

2 Introduction to PIR codes and UDD PIR codes

The Griesmer Bound for UDD PIR Codes
Proof Using UEP Codes
Proof Using Hyperplanes

4) Optimal UDD PIR codes for  $k \leq 3$ 

### Error-correction codes

Everything in this talk will be over the binary field  $\mathbb{F}_2,$  unless explicitly stated otherwise.

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An important parameter of C is its distance  $d = \min\{wt(u) \mid u \in \mathbb{C} \setminus \{0\}\}$ where wt(u) equals the number of non-zero components of u. If d is known, we also call C an [n, k, d] error-correction code.

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#### Example

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An *unequal error protection (UEP) code* is an error-correction code where some bits of the code word may be more protected than others and can sometimes be recovered independently.

#### Example

We can define the encoder  $\epsilon$  to map (a, b) to (a, a, a, b). Now, clearly the first coordinate is more protected than the second.

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#### Definition

For a linear [n, k] code C with a generator matrix G we define the separation vector  $S(G) = (S(G)_1, \ldots, S(G)_k)$  by

$$S(G)_i := \min\{wt(mG) \mid m \in \mathbb{F}_2^k, m_i \neq 0\}.$$

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The higher the value  $S(G)_i$  the stronger the protection for the *i*-th data symbol in the message word *m*.

# The Griesmer Bound

An important lower bound for error-correction codes is the Griesmer bound:

Theorem (Griesmer Bound)

Let C be an [n, k, d] error-correction code. Then

$$n\geq \sum_{i=1}^k\left\lceil\frac{d}{2^{i-1}}\right\rceil.$$

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Theorem (Griesmer Bound for UEP Codes)  
Let C be an 
$$[n, k]$$
 linear code with the separation vector  
 $S = (S_1, ..., S_k) \in (\mathbb{N} \cup \{0\})^k$  with  $S_1 \ge S_2 \ge ... \ge S_k$ . Then  
 $n \ge \sum_{i=1}^k \left\lceil \frac{S_i}{2^{i-1}} \right\rceil$ .

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#### Example

Assume we have two servers  $S_1$  and  $S_2$  which both store the vector  $(x_1, \ldots, x_n) \in \{0, 1\}^n$  and the user wants to retrieve  $x_i$ .

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$$r_1 + r_2 = (x, q) + (x, q + e_i) = (x, e_i) = x_i.$$

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In 2015 Fazeli, Vardy and Yaakobi introduced the concept of PIR codes to get the same result with lower *storage overhead*, which is defined as the ratio between the total number of bits stored on all the servers and the number of bits in the database.

### Example

We partition the database  $x = (x_1, ..., x_n)$  into two parts:  $x = (x^{(1)}, x^{(2)})$  and store these parts in three servers  $T_1$ ,  $T_2$  and  $T_3$ .

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Now,  $(x^{(1)}, q + e_i) = r_2 + r_3$  and

$$r_1 + (r_2 + r_3) = (x^{(1)}, q) + (x^{(1)}, q + e_i) = (x^{(1)}, e_i) = x_i.$$

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#### Definition

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Clearly, the higher the value of t, the more accessible the data (e.g. if some servers were to go down or if the data demand is high).

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- Regular PIR codes are designed for cases where all items in the database are of the same importance
- But what if some pieces of data are in much higher demand than others?
- It would make sense to have more possibilities to recover these pieces of data

Let C be a k-dimensional linear subspace of  $\mathbb{F}_2^n$ . We call a generator matrix  $G \in Mat_{k,n}(\mathbb{F}_2)$  of C a (binary)  $(k, (t_1, \ldots, t_k))$ -UDD PIR code if it has the following property:

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We usually call a  $(k, (t_1, \ldots, t_k))$ -UDD PIR code simply a  $(t_1, \ldots, t_k)$ -UDD code. Also, we henceforth always assume  $t_1 \ge \ldots \ge t_k$ .

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Consider the generator matrix

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Numbering the columns from left to right, we have the following recovery sets for  $e_1$ ,  $e_2$  and  $e_3$ :

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$$e_1: \{1\}, \{2\}, \{3, 4, 5\}$$
  

$$e_2: \{3\}, \{1, 4, 5\},$$
  

$$e_3: \{4\}, \{1, 3, 5\}.$$

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 $e_1: \{1\}, \{2\}, \{3, 4, 5\}$   $e_2: \{3\}, \{1, 4, 5\},$  $e_3: \{4\}, \{1, 3, 5\}.$ 

Thus, we call G a (3, (3, 2, 2))-UDD PIR code.

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### Problem

Let  $k \in \mathbb{N}$  and  $T = (t_1, ..., t_k)$  with  $t_1, ..., t_k \in \mathbb{N} \cup \{0\}$  and  $t_1 \ge ... \ge t_k$ . How long is the shortest T-UDD code i.e. what is the value of P(k, T)?

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A similar bound holds for UDD codes:

### Theorem

Griesmer Bound for UDD PIR codes Let  $k \in \mathbb{N}$  and  $t_1 \ge \ldots \ge t_k \ge 0$ . Then

$$P(k,(t_1,\ldots,t_k)) \geq \sum_{i=1}^k \left\lceil \frac{t_i}{2^{i-1}} \right\rceil$$

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### Example

In the previous example, we had a (3, 2, 2)-UDD code of length 5, given by the matrix

$$G = egin{pmatrix} 1 & 1 & 0 & 0 & 1 \ 0 & 0 & 1 & 0 & 1 \ 0 & 0 & 0 & 1 & 1 \end{pmatrix}.$$

The Griesmer bound says that  $P(3, (3, 2, 2)) \ge 3 + \left\lceil \frac{2}{2} \right\rceil + \left\lceil \frac{2}{4} \right\rceil = 5$ , so G is of the minimal possible length.

#### Lemma

Let  $t_1 \ge ... \ge t_k \ge 0$  and  $G = (g_{ij})$  be a matrix for a  $(t_1, ..., t_k)$ -UDD code. Then G has a separation vector of  $(S(G)_1, ..., S(G)_k)$  where  $S(G)_i \ge t_i$  for all  $i \in [k]$ .

#### Lemma

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Theorem (Griesmer Bound for UDD PIR codes)

Let  $k \in \mathbb{N}$  and  $t_1 \geq \ldots \geq t_k$ . Then

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#### Lemma

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*Proof.* Let *G* be the matrix form of a  $(t_1, \ldots, t_k)$ -UDD code. Then *G* is a generator matrix for an [n, k] linear code with the separation vector  $(S(G)_1, \ldots, S(G)_k)$  by the previous lemma. Now the bound follows from the Griesmer bound for UEP codes.

Let  $H_k$  denote the  $(2^k - 1)$ -by- $(2^k - 1)$  matrix of scalar products of the non-zero vectors in  $\mathbb{F}_2^k$ , ordered by their binary representations. Then the following lemma holds.

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#### Lemma

1. Adding any two odd-numbered rows of  $H_k$  (in  $\mathbb{F}_2^{2^k-1}$ ) gives an even-numbered row of  $H_k$ .

2. Let  $k \ge 2$  and *i* be odd. The submatrix which consists of the columns where there is 0 in the *i*-th row and of all the even-numbered rows of  $H_k$  is  $H_{k-1}$ .

## Example

$$H_2 = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{pmatrix} \qquad H_3 = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$$

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### Theorem

Griesmer Bound for UDD PIR codes Let  $k \in \mathbb{N}$  and  $t_1 \ge \ldots \ge t_k \ge 0$ . Then

$${\mathcal P}(k,(t_1,\ldots,t_k)) \geq \sum_{i=1}^k \left\lceil rac{t_i}{2^{i-1}} 
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*Proof.* Let G be a k-by-n matrix corresponding to a  $(t_1, \ldots, t_k)$ -UDD code, where  $t_1 \ge \ldots \ge t_k$ .

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#### Theorem

Griesmer Bound for UDD PIR codes Let  $k \in \mathbb{N}$  and  $t_1 \ge \ldots \ge t_k \ge 0$ . Then

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*Proof.* Let *G* be a *k*-by-*n* matrix corresponding to a  $(t_1, \ldots, t_k)$ -UDD code, where  $t_1 \ge \ldots \ge t_k$ . Suppose that *G* has  $a_i$  columns of the form  $i = (i_{k-1}, \ldots, i_0)^T$  where  $i = i_0 + i_1 \cdot 2 + \ldots + i_{k-1}2^{k-1}$ , the binary representation of *i*. Clearly

$$n=\sum_{i=1}^{2^{k}-1}a_{i}.$$

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Let  $h \in \mathbb{F}_2^k \setminus \{0\}$ , then  $h^{\perp} \leq \mathbb{F}_2^k$  is a hyperplane. Now, if  $e_j$  is not an element of the hyperplane  $h^{\perp}$ , then each of the  $t_j$  recovery sets for  $e_j$  must include a column which is *not* it  $h^{\perp}$ . This gets us a system of inequalities for  $a_1, \ldots, a_{2^k-1}$ .

The proof now continues by induction. The bound clearly holds for k = 1 with any  $t_1 \in \mathbb{N}$ . We show the induction step for k = 3, the process is identical for a general  $k \ge 2$ .

The proof now continues by induction. The bound clearly holds for k = 1 with any  $t_1 \in \mathbb{N}$ . We show the induction step for k = 3, the process is identical for a general  $k \ge 2$ . We have the following system of inequalities:

 $a_{1} + a_{3} + a_{5} + a_{7} \ge t_{1}$   $a_{2} + a_{3} + a_{6} + a_{7} \ge t_{2}$   $a_{1} + a_{2} + a_{5} + a_{6} \ge t_{1}$   $a_{4} + a_{5} + a_{6} + a_{7} \ge t_{3}$   $a_{1} + a_{3} + a_{4} + a_{6} \ge t_{1}$   $a_{2} + a_{3} + a_{4} + a_{7} \ge t_{2}$   $a_{1} + a_{2} + a_{4} + a_{7} \ge t_{1}$ 

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The variable  $a_1$  appears only in the inequalities for  $t_1$ . If none of these inequalities were tight, we could reduce  $a_1$  by one and still get a solution. We therefore w.l.o.g. assume that one of these inequalities is tight.

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The variable  $a_1$  appears only in the inequalities for  $t_1$ . If none of these inequalities were tight, we could reduce  $a_1$  by one and still get a solution. We therefore w.l.o.g. assume that one of these inequalities is tight. We subtract this equality from all the other inequalities with  $t_1$ .
In the case where the first inequality is actually an equality, we get the following system:

$$a_1 + a_3 + a_5 + a_7 \ge t_1$$
  
 $a_2 + a_3 + a_6 + a_7 \ge t_2$   
 $a_2 - a_3 + a_6 - a_7 \ge 0$   
 $a_4 + a_5 + a_6 + a_7 \ge t_3$   
 $a_4 - a_5 + a_6 - a_7 \ge 0$   
 $a_2 + a_3 + a_4 + a_7 \ge t_2$   
 $a_2 - a_3 + a_4 - a_5 \ge 0$ 

Adding the 2. and 3. inequality, the 4. and 5. inequality and the 6. and 7. inequality, we get the new system with the matrix  $H_2$  (the previous lemma justifies these steps in the general case).

 $2a_2 + 2a_6 \ge t_2$  $2a_4 + 2a_6 \ge t_3$  $2a_2 + 2a_4 \ge t_2$ 

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The result now quickly follows from the induction hypothesis.

As every error-correction code is a *UEP* code and every *UEP* code is a *UDD* code, this is a completely new proof for the Griesmer bound for UEP codes and error-correction codes.

**1** Introduction to error-correction codes and UEP codes

2 Introduction to PIR codes and UDD PIR codes

The Griesmer Bound for UDD PIR Codes
Proof Using UEP Codes
Proof Using Hyperplanes

4 Optimal UDD PIR codes for  $k \leq 3$ 

For  $k \leq 3$ , the Griesmer bound can always be achieved.

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For  $k \le 3$ , the Griesmer bound can always be achieved. We show this for k = 3, then  $k \le 2$  follows directly. In order to do this, we first show optimal constructions for a few special codes:

An optimal (1,1,0)-UDD code is 
$$G = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$$
.  
An optimal (1,1,1)-UDD code is  $G = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ .  
An optimal (2,2,2)-UDD code is  $G = \begin{pmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}$ .  
An optimal (3,3,3)-UDD code is  $G = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$ .  
An optimal (4,4,4)-UDD code is  $G = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$ .

A code of length  $G(t_1, t_2, t_3)$  can be generated from the previous codes for every  $t_1 \ge t_2 \ge t_3$ .

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Assume we have a  $(t_1, t_2, t_3)$ -UDD code with length  $G(t_1, t_2, t_3)$ .

1. As  $G(t_1 + 1, t_2, t_3) = G(t_1, t_2, t_3) + 1$ , we can get a  $(t_1 + 1, t_2, t_3)$ -UDD code of length  $G(t_1 + 1, t_2, t_3)$  just by adding a column  $(1, 0, 0)^T$ .

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- 2. As  $G(t_1 + 2, t_2 + 2, t_3) = G(t_1, t_2, t_3) + 3$  we can get a  $(t_1 + 2, t_2 + 2, t_3)$ -UDD code of length  $G(t_1 + 2, t_2 + 2, t_3)$  by adding the columns  $(1, 0, 0)^T$ ,  $(0, 1, 0)^T$  and  $(1, 1, 0)^T$ .

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- 3. As  $G(t_1 + 4, t_2 + 4, t_3 + 4) = G(t_1, t_2, t_3) + 7$ , we can get a code of length  $G(t_1 + 4, t_2 + 4, t_3 + 4)$  for  $T = (t_1 + 4, t_2 + 4, t_3 + 4)$  by adding one of each non-zero column.

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- 3. As  $G(t_1 + 4, t_2 + 4, t_3 + 4) = G(t_1, t_2, t_3) + 7$ , we can get a code of length  $G(t_1 + 4, t_2 + 4, t_3 + 4)$  for  $T = (t_1 + 4, t_2 + 4, t_3 + 4)$  by adding one of each non-zero column.
- 4. As  $G(t_1, t_2, 4m) = G(t_1, t_2, 4m 1) = G(t_1, t_2, 4m 2) = G(t_1, t_2, 4m 3)$ , we can round  $t_3$  up to min $\{t_2, 4m\}$  where 4m is the smallest multiple of 4 larger than  $t_3$ .

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The previous slide provides an algorithm to generate an optimal matrix for any triple  $t_1 \ge t_2 \ge t_3$ .

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#### Example

Let  $(t_1, t_2, t_3) = (10, 9, 5)$ . We construct a (10, 9, 5)-UDD code of length G(10, 9, 5) = 17:

First we add  $\left\lfloor \frac{t_3}{4} \right\rfloor = 1$  simplex code (all non-zero columns).

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We add 
$$\left\lfloor \frac{t'_2 - t'_3}{2} \right\rfloor = 2$$
 of each vector  $e_1$ ,  $e_2$  and  $e_1 + e_2$ .

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We add  $\left\lfloor \frac{t'_2 - t'_3}{2} \right\rfloor = 2$  of each vector  $e_1$ ,  $e_2$  and  $e_1 + e_2$ . This adds 6 columns to G and 4 recovery sets for  $e_1$ ,  $e_2$ .

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We add  $\left\lfloor \frac{t'_2 - t'_3}{2} \right\rfloor = 2$  of each vector  $e_1$ ,  $e_2$  and  $e_1 + e_2$ . This adds 6 columns to *G* and 4 recovery sets for  $e_1$ ,  $e_2$ . We now need a (2, 1, 1)-UDD code.

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The previous slide provides an algorithm to generate an optimal matrix for any triple  $t_1 \ge t_2 \ge t_3$ .

#### Example

Let  $(t_1, t_2, t_3) = (10, 9, 5)$ . We construct a (10, 9, 5)-UDD code of length G(10, 9, 5) = 17:

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We add  $\left\lfloor \frac{t'_2 - t'_3}{2} \right\rfloor = 2$  of each vector  $e_1$ ,  $e_2$  and  $e_1 + e_2$ . This adds 6 columns to *G* and 4 recovery sets for  $e_1, e_2$ . We now need a (2, 1, 1)-UDD code. We add  $t''_2 - t''_1 = 1$  of  $e_1$  which reduces us to finding an optimal

(1, 1, 1)-UDD code. This was done 2 slides ago.

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#### Example

We get the following matrix:

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# Thank you!

## Questions?

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