

# NB LDPC and GLDPC Codes for Future Communication Standards

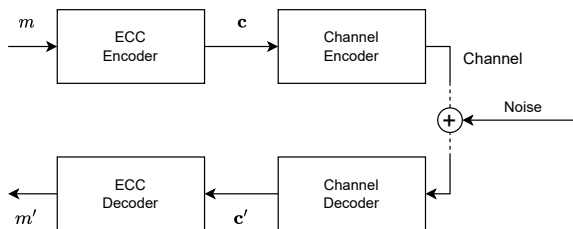
Sander Mikelsaar

May 10, 2022

Joint work with Irina E. Bocharova & Boris D. Kudryashov

# Error-Correcting Codes

- Any communication over a noisy channel requires error-correcting codes (ECCs)
- We would like the sent message  $m$  to be the same as the received message  $m'$



# ECC Example

- Simplest example of an ECC is a repetition code
- For input  $x \in \{0, 1\}$ , encode it as  $y \in \{000, 111\}$
- Allows for correction of 1 error
- Resulting code rate  $R = 1/3$
- Redundancy is used to correct errors
- Balance redundancy and error rates

# Channel Encoding (Modulation)

- Phase-shift keying (PSK) modulates the phase of a constant frequency carrier wave
- M-PSK maps length- $\log_2 M$  bitstrings to  $M$  channel symbols
- Simplest is BPSK (or 2-PSK):  
For input  $c \in \{0, 1\}$ , map it to  $v = \sqrt{E_s}(2c - 1)$ , where  $E_s$  is the carrier signal energy

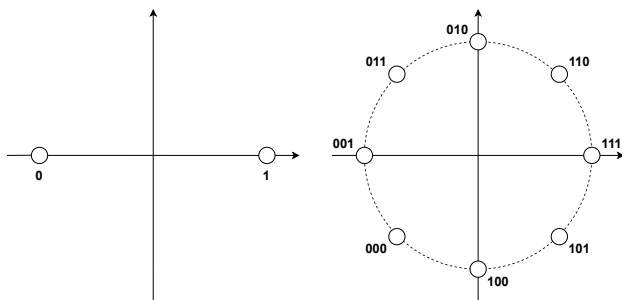
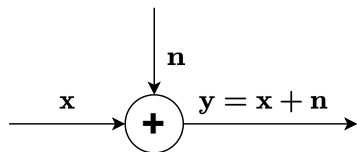


Figure: BPSK and 8-PSK (with Gray code mapping) signal constellations

- Additive White Gaussian Noise (AWGN) channel
- Typical channel model for simulations
- Noise  $n$  is a vector of independent zero-mean Gaussian random variables with variance  $\sigma^2 = N_o/2$
- The signal-to-noise ratio  $SNR = 10 \log_{10} \frac{E_s}{N_o}$  (dB)



- A generator matrix  $G$  of a linear  $[n, k]$  code  $\mathcal{C}$  is an  $k \times n$  matrix whose rows are basis vectors.
- $G = \begin{pmatrix} 1 & 1 & 1 \end{pmatrix}$  for the  $[3, 1]$  repetition code
- For a message  $\mathbf{m} = (m_1, \dots, m_k)$ ,  
the corresponding codeword  $\mathbf{c} = (c_1, \dots, c_n) = \mathbf{m}G$
- A parity-check matrix  $H$  for the same code is a  $r \times n$  matrix, where  $r = n - k$  and  $GH^T = \mathbf{0}$  and  $\forall \mathbf{c} = \mathbf{m}G : \mathbf{c}H^T = \mathbf{0}$
- Rate  $R$  of a code is  $R = \frac{k}{n}$

- Low density parity-check (LDPC) codes
- Very sparse parity-check matrix  $H$
- In practice, long LDPC codes are needed
- DVB-S2 standard,  $n = 64800$

$$H = \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix}$$

# Tanner Graph I

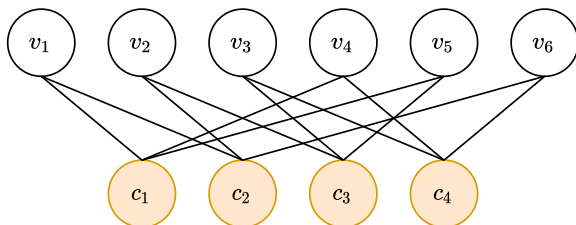
- Tanner graph is a bipartite graph
- Can be used to represent matrix  $H$
- Denote columns as variable nodes and rows as check nodes
- A symbol "1" in  $H$  represents an edge in the Tanner graph

$$H = \begin{array}{c} c_1 \\ c_2 \\ c_3 \\ c_4 \end{array} \begin{array}{cccccc} v_1 & v_2 & v_3 & v_4 & v_5 & v_6 \\ \left( \begin{array}{cccccc} 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right) \end{array}$$



# Tanner Graph II

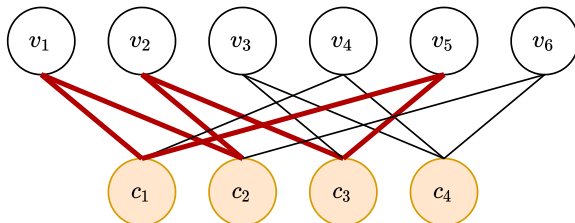
$$H = \begin{matrix} & v_1 & v_2 & v_3 & v_4 & v_5 & v_6 \\ c_1 & \left( \begin{array}{cccccc} 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right) \\ c_2 & \\ c_3 & \\ c_4 & \end{matrix}$$



# Tanner Graph Cycles I

- A cycle (circuit) is a path in a graph that starts and ends at the same node
- Small Tanner graph cycles should be avoided

$$\mathbf{c} = (c_1 \leftarrow v_1 \rightarrow c_2 \leftarrow v_2 \rightarrow c_3 \leftarrow v_5 \rightarrow c_1)$$



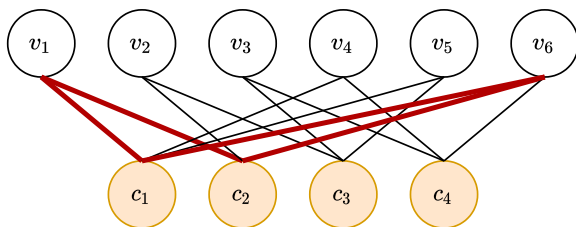
# Tanner Graph Cycles II

- Girth  $g$  of a Tanner graph is the size of its smallest cycle
- $g = 6$  for the Tanner graph of  $H$  shown below
- Cannot add an edge without reducing  $g$

$$H = \begin{array}{c} \\ c_1 \\ c_2 \\ c_3 \\ c_4 \end{array} \begin{array}{cccccc} v_1 & v_2 & v_3 & v_4 & v_5 & v_6 \\ \left( \begin{array}{cccccc} 1 & 0 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \end{array} \right)$$

# Tanner Graph Cycles III

$$H = \begin{matrix} & v_1 & v_2 & v_3 & v_4 & v_5 & v_6 \\ c_1 & \begin{pmatrix} 1 & 0 & 0 & 1 & 1 & \mathbf{1} \end{pmatrix} \\ c_2 & \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 1 \end{pmatrix} \\ c_3 & \begin{pmatrix} 0 & 1 & 1 & 0 & 1 & 0 \end{pmatrix} \\ c_4 & \begin{pmatrix} 0 & 0 & 1 & 1 & 0 & 1 \end{pmatrix} \end{matrix}$$



$$\mathbf{c} = (c_1 \leftarrow v_1 \rightarrow c_2 \leftarrow v_6 \rightarrow c_1)$$

- We can use the previous matrix  $H$  as a *base matrix*  $H_b$
- Replace "1"s in  $H_b$  by  $M \times M$  cyclic permutation matrices  
And "0"s by  $M \times M$  all-zero matrices
- For  $M = 3$ , we can label "1"s in  $H_b$  as  $d^i$  for  $i \in \{0, 1, 2\}$ :

$$d^0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad d^1 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \quad d^2 = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

- From a  $r \times n$  base matrix  $H_b$ , we get  $rM \times nM$  lifted matrix  $H$

- We can store large LDPC code matrices using *degree matrices*

$$H_d = \begin{matrix} & v_1 & v_2 & v_3 & v_4 & v_5 & v_6 \\ \begin{matrix} c_1 \\ c_2 \\ c_3 \\ c_4 \end{matrix} & \begin{pmatrix} d^0 & 0 & 0 & d^0 & d^0 & d^1 \\ d^0 & d^0 & 0 & 0 & 0 & d^0 \\ 0 & d^0 & d^0 & 0 & d^0 & 0 \\ 0 & 0 & d^0 & d^0 & 0 & d^0 \end{pmatrix} \end{matrix}$$

- A cycle in the base matrix  $H_b$  exists in the lifted matrix  $H$  if the alternating sum of edge degrees *mod*  $M$  equals 0
- Signs correspond to directions of arrows:

$$\mathbf{c} = (c_1 \xleftarrow{0} v_1 \xrightarrow{0} c_2 \xleftarrow{0} v_6 \xrightarrow{1} c_1) \rightarrow (-0 + 0 - 0 + 1) \pmod{3} = 1$$

- Optimal decoding is not practical for LDPC codes
- Sub-optimal techniques with linear complexity are required
- Use "soft input soft output" (SISO) algorithms
- Iterative decoding

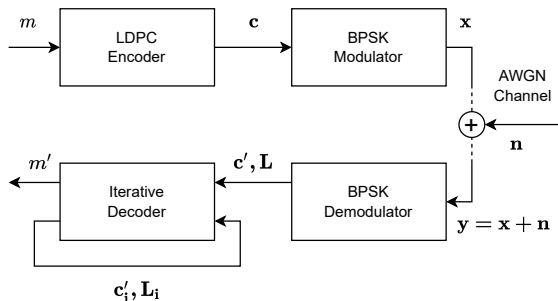
- Belief propagation (BP) decoding
- Calculate probabilities symbol  $x_i$  to be either 0 or 1 based on the received sequence  $\mathbf{y}$
- $p_i = Pr[x_i = c|\mathbf{y}]$ , where  $c \in \{0, 1\}$
- Let event  $S_j$  denote satisfied check in position  $j$   
Then  $p_{j,i} = Pr[x_i = c|\mathbf{y}, S_j]$
- Let event  $S$  denote that all checks containing  $x_i$  are satisfied

$$\frac{Pr[x_i = 0|\mathbf{y}, S]}{Pr[x_i = 1|\mathbf{y}, S]} = \frac{(1 - p_i)}{p_i} \prod_{j=1}^J \frac{1 + \prod_{h=1, h \neq i}^K (1 - 2p_{j,h})}{1 - \prod_{h=1, h \neq i}^K (1 - 2p_{j,h})}$$



# LDPC Decoding I

- Decoding of LDPC codes is performed iteratively
- Decode until maximum number of iterations is reached or zero-syndrome is achieved
- For code length  $n$ , each decoding iteration has linear complexity  $\mathcal{O}(n)$



- Cycles of the Tanner graph impact decoding performance
- Iterative decoding *error floor* phenomenon caused by *trapping sets*
- Avoiding small cycles significantly improves decoding performance
- Girth and number of smallest cycles is indicative of code performance

- Binary LDPC codes are used in modern standards
- Digital TV (DVB-S2), Gigabit networking (ITU-T G.hn, 10GBASE-T Ethernet), WiFi (802.11n and 802.11ac), 5G (3GPP 5G NR)
- Non-binary (NB) LDPC codes achieve better BP decoding performance

- Generalization of binary LDPC codes to  $GF(q)$ ,  
 $q = 2^m, m > 1$
- Substitute non-zero elements of  $H$  by elements of  $GF(q)$
- Decoding is done over  $GF(q)$
- For a  $r \times n$  matrix  $H$  of a NB LDPC code over  $GF(2^m)$ , a binary image is obtained by replacing non-zero elements by  $m \times m$  companion matrices
- For a NB LDPC code of length  $n$  over  $GF(2^m)$ , transmit a binary image of the codeword  $\mathbf{c}$

$$\mathbf{c} = \{\mathbf{c}_1, \mathbf{c}_2, \dots, \mathbf{c}_n\}, \text{ where } \mathbf{c}_i = \{c_{i,1}, c_{i,2}, \dots, c_{i,m}\}$$

- Generalized LDPC (GLDPC) codes are another powerful generalization of binary LDPC codes
- Constructed using a base matrix  $H_b$  and a constituent code defined by a parity-check matrix  $H_c$
- For  $r_b \times n$  matrix  $H_b$  with uniform row weight  $K$ , use  $r_c \times K$  matrix  $H_c$
- Resulting  $r_c r_b \times n$  binary  $H$  of the GLDPC code

- Non-zero elements of  $H_b$  replaced by columns of  $H_c$
- Zero elements replaced by all-zero columns of length  $r_c$
- Choose columns such that the number of short cycles is minimized

$$H_b = \begin{pmatrix} c_1 & 0 & 0 & c_2 & c_3 & 0 \\ c_2 & c_1 & 0 & 0 & 0 & c_3 \\ 0 & c_3 & c_2 & 0 & c_1 & 0 \\ 0 & 0 & c_3 & c_1 & 0 & c_2 \end{pmatrix} \quad H_c = \begin{pmatrix} & c_1 & c_2 & c_3 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{pmatrix}$$

# GLDPC Code Construction

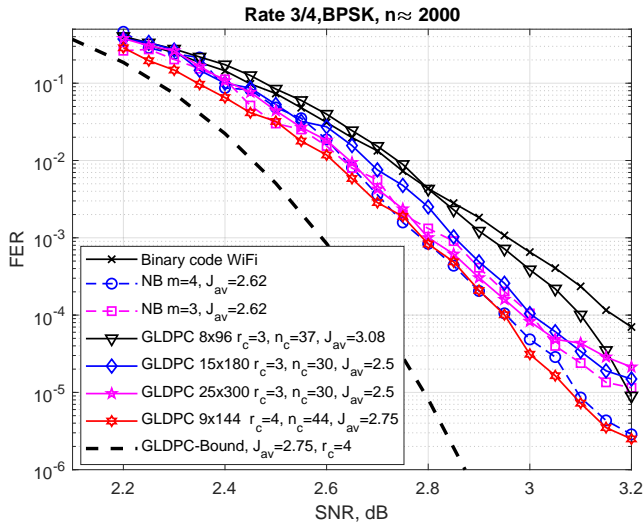
- Construct row-regular base parity check matrices  $H_b$  of varying sizes and average column weights
- Construct binary QC LDPC codes using lifting factor  $M$
- Replace non-zero elements by columns of constituent code  $H_c$  to obtain QC-GLDPC codes
- Maximize girth and minimize amount of smallest cycles

$H_b$	$M$	QC-LDPC	$H_c$	GLDPC
$8 \times 96$	21	$168 \times 2016$	$3 \times 37$	$504 \times 2016$
$15 \times 180$	11	$165 \times 1980$	$3 \times 30$	$495 \times 1980$
$25 \times 300$	7	$175 \times 2100$	$3 \times 30$	$525 \times 2100$
$9 \times 144$	14	$126 \times 2016$	$4 \times 44$	$504 \times 2016$

- To compare performance, NB LDPC and GLDPC are simulated over AWGN channel using BPSK
- Frame error rate (FER) is measured for BP decoding
- Max 50 decoding iterations
- Simulate until 25 frame errors encountered
- $R = 3/4$  GLDPC codes of length  $n \approx 2000$
- $R = 3/4$  NB QC LDPC codes over  $GF(2^3)$  and  $GF(2^4)$
- Compare with binary codes used for WiFi



# Results II



# Conclusions

- Both NB LDPC and GLDPC codes outperform their binary counterparts
- GLDPC codes offer slightly better performance
- Both classes of codes achieve relatively low decoding complexity

# Thank you!